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# Turbulence in Magnetised Plasmas 

B. Scott

Max Planck Institut für Plasmaphysik<br>Euratom Association<br>D-85748 Garching, Germany

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## Turbulence in Magnetised Plasmas

- nonlinearity of small disturbances on an equilibrium
$\dagger$ three wave interactions
$\dagger$ energy transfer, cascading
- incompressible turbulence models
$\dagger$ simple fluid turbulence, role of pressure to maintain incompressibility
$\dagger$ cascades of energy, vorticity ("enstrophy"), role of vortex tubes in 2D and 3D models
$\dagger$ 2D MHD turbulence, role of magnetic field to maintain incompressibility
- simple drift effects in a magnetised plasma with gradients
$\dagger$ dissipative coupling, effect on cascades
$\dagger$ evolution of spectra, physical meaning of cascades
$\dagger$ varying properties of nonlinear couplings
- the transport problem


## Various Nonlinear Effects

- rapid space/time variation of parameters (e.g., shocks, isolated jets)
- quasilinear interaction between small waves to alter the background
$\dagger$ each wave $(\mathbf{k})$ beats against itself $\left(\mathbf{k}^{\prime}\right)$
$\dagger$ background is wavenumber zero

$$
\mathbf{k}+\mathbf{k}^{\prime}=0
$$

- turbulence - incoherent interaction with many wave combinations
$\dagger$ each wave $(\mathbf{k})$ is forced upon by two other beat waves $\left(\mathbf{k}^{\prime}\right.$ and $\left.\mathbf{k}^{\prime \prime}\right)$
$\dagger$ many distinct pairs $\left\{\mathbf{k}^{\prime}, \mathbf{k}^{\prime \prime}\right\}$ with no relation to $\mathbf{k}$

$$
\mathbf{k}+\mathbf{k}^{\prime}+\mathbf{k}^{\prime \prime}=0
$$

many degrees of freedom, incoherent, statistical

## Small Disturbances on an Equilibrium

- ordering in general - gradients multiplied by constant parameters

$$
\Delta_{\perp} \ll L_{\perp} \quad \Longrightarrow \quad\left(p_{e}+\widetilde{p}_{e}\right) \nabla \cdot \mathbf{v} \quad \rightarrow \quad p_{e} \nabla \cdot \mathbf{v}
$$

- background may be inhomogeneous (define $x$ as down-gradient)

$$
\nabla p_{e} \rightarrow-\frac{p_{e}}{L_{\perp}} \nabla x \quad \text { where } \quad \nabla x=-L_{\perp} \nabla \log p_{e}
$$

- "mixing level" disturbances

$$
\nabla \widetilde{p}_{e} \sim \nabla p_{e} \Longrightarrow \frac{\widetilde{p}_{e}}{p_{e}} \sim \frac{\Delta_{\perp}}{L_{\perp}} \ll 1
$$

- nonlinearity remains in advection effects - a nonlinear term and a linear forcing term

$$
\mathbf{v}_{E} \cdot \nabla\left(p_{e}+\widetilde{p}_{e}\right)=\frac{c}{B} \mathbf{b} \cdot \nabla \widetilde{\phi} \times \nabla \widetilde{p}_{e}-\frac{p_{e}}{L_{\perp}} v_{E}^{x} \quad \text { where } \quad v_{E}^{x}=\frac{c}{B} \mathbf{b} \cdot \nabla \widetilde{\phi} \times \nabla x
$$

keep nonlinearities where quadratic under gradients

## Incompressible Hydrodynamics

- start with MHD, neglect magnetic field

$$
\left(\frac{\partial \mathbf{v}}{\partial t}+\mathbf{v} \cdot \nabla \mathbf{v}\right)=-\frac{1}{\rho} \nabla p
$$

- take curl, treat $\rho$ as constant, neglect $\nabla \cdot \mathbf{v}$

$$
\left(\frac{\partial}{\partial t}+\mathbf{v} \cdot \nabla\right) \nabla \times \mathbf{v}=(\nabla \times \mathbf{v}) \cdot \nabla \mathbf{v}
$$

- pressure submerges - only role is to maintain incompressibility

$$
\text { let } \quad \frac{\partial}{\partial t} \nabla \cdot \mathbf{v}=0 \quad \text { then } \quad \nabla^{2} p=-\nabla \cdot(\rho \mathbf{v} \cdot \nabla \mathbf{v})
$$

- leads to "projection methods" for computations


## The Cascade to Smaller Scales

- the "eddy mitosis" model: vortices sheared apart, into smaller ones about half the size
- assume: energy input ("stirring") and loss ("dissipation") occur in well separated ranges in scale - situation of "high Reynolds number" meaning turbulent mixing $\gg$ viscous or collisional diffusion
- at scale $n$, have kinetic energy, $E_{n}=v_{n}^{2} / 2$, and "eddy turnover time" inverse to vorticity, $(k v)_{n}$
- during the mitosis process, energy is conserved $\rightarrow$ power law

$$
(k v)_{n-1} E_{n-1}=(k v)_{n} E_{n} \quad k_{n}=2 k_{n-1}
$$

- in this "inertial range" one finds the Kolmogorov scaling law

$$
\left(E_{n} / k_{n}\right) \propto k_{n}^{-5 / 3} \quad \text { density of states } \quad k_{n}
$$

- the vorticity increases towards smaller scales $\rightarrow$ enstrophy is produced

$$
(k v)_{n} \propto k_{n}^{2 / 3}
$$

## Enstrophy in Incompressible Hydrodynamics

- Euler equation in 3D

$$
\left(\frac{\partial}{\partial t}+\mathbf{v} \cdot \nabla\right) \nabla \times \mathbf{v}=(\nabla \times \mathbf{v}) \cdot \nabla \mathbf{v}
$$

- note mean squared vorticity ("enstrophy") is not generally conserved

$$
\frac{\partial W}{\partial t}+\nabla \cdot(W \mathbf{v})=[(\nabla \times \mathbf{v})(\nabla \times \mathbf{v})]:[\nabla \mathbf{v}] \quad \text { where } \quad W=\frac{1}{2}(\nabla \times \mathbf{v}) \cdot(\nabla \times \mathbf{v})
$$

- enstrophy is transported by the velocity, but grows if ...
$\dagger$ the velocity has a component along the vorticity, and also diverges in that direction
vortex tube stretching in 3D


## Vortex Tube Stretching

type of motion necessary to entrophy production


## What You Can Learn Just From Equations

- energy conservation, energy transfer to smaller scales
- statistical redistribution, with more states available at smaller scale
- enstrophy must increase
- geometry: enstrophy increase is described by a definite quantity
- this quantity can only be positive if there are vortex tubes which are stretched by the flow

Kolmogorov cascade process must proceed through vortex tube stretching

- the above is found merely by examining the properties of the equations
- actually solving them was not necessary


## 2D Incompressible Hydrodynamics

- in 2 D one must have $\nabla \times \mathbf{v} \perp \mathbf{v} \ldots$ let $\hat{\mathbf{s}}$ be the normal to the plane

$$
\nabla \cdot \mathbf{v}=0 \quad \Longrightarrow \quad \mathbf{v}=\hat{\mathbf{s}} \times \nabla \psi \quad \Longrightarrow \quad(\nabla \times \mathbf{v})=\hat{\mathbf{s}} \nabla_{\perp}^{2} \psi
$$

- find the 2D Euler equation

$$
\frac{\partial \Omega}{\partial t}+\mathbf{v} \cdot \nabla \Omega=0 \quad \text { with } \quad \Omega=\nabla_{\perp}^{2} \psi \quad \text { and } \quad \mathbf{v}=\hat{\mathbf{s}} \times \nabla \psi
$$

- hence the enstrophy $(W)$ is conserved, along with the energy $(U)$

$$
\begin{aligned}
\text { let } & W=\frac{\Omega^{2}}{2} & \text { then } & \frac{\partial W}{\partial t}+\nabla \cdot(W \mathbf{v})=0 \\
\text { let } U & =\frac{v^{2}}{2} & \text { then } & \frac{\partial U}{\partial t}+\nabla \cdot(U \mathbf{v})=0
\end{aligned}
$$

both are conserved with same flow field

## The Importance of Two Dimensionality

- in fluid dynamics, 2D can be forced by
- strong rotation (Proudman-Taylor theorem)
- domain anisotropy (the "thin atmosphere" situation)
- in plasma dynamics, 2D is usually forced by
- strong background magnetic field ("guide field"), with Alfvén velocity $v_{A}$
- specific energy density of reservoir $\ll v_{A}^{2}$
- main reason: "low beta" meaning $T_{e} \ll M_{i} v_{A}^{2}$ hence $\beta_{e}=4 \pi p_{e} / B^{2} \ll 1$
- in 2 D , enstrophy is conserved; therefore

Kolmogorov cascade to small scales cannot occur in 2D

## The Three Wave Interaction

- start with the 2D Euler equation

$$
\frac{\partial \Omega}{\partial t}+\mathbf{v} \cdot \nabla \Omega=0
$$

- define Fourier decomposition

$$
\psi=\sum_{\mathbf{k}} e^{i \mathbf{k} \cdot \mathbf{x}} \psi_{\mathbf{k}} \quad \psi_{\mathbf{k}}=\oint \frac{k^{2} d^{2} x}{4 \pi^{2}} e^{-i \mathbf{k} \cdot \mathbf{x}} \psi \quad \psi_{(-\mathbf{k})}=\psi_{\mathbf{k}}^{*}
$$

- Euler equation in $\mathbf{k}$-space

$$
\frac{\partial \Omega_{\mathbf{k}}}{\partial t}=\hat{\mathbf{s}} \cdot \oint \frac{k^{2} d^{2} x}{4 \pi^{2}} e^{-i \mathbf{k} \cdot \mathbf{x}} \sum_{-\mathbf{k}^{\prime}} \sum_{-\mathbf{k}^{\prime \prime}} e^{-i \mathbf{k}^{\prime} \cdot \mathbf{x}} e^{-i \mathbf{k}^{\prime \prime} \cdot \mathbf{x}}\left(i \mathbf{k}^{\prime}\right) \times\left(i \mathbf{k}^{\prime \prime}\right) \Omega_{-\mathbf{k}^{\prime}} \psi_{-\mathbf{k}^{\prime \prime}}
$$

- three wave condition for the integral not to vanish

$$
\mathbf{k}+\mathbf{k}^{\prime}+\mathbf{k}^{\prime \prime}=0
$$

## Equations for Beat Waves

- Euler equation

$$
\frac{\partial \Omega_{\mathbf{k}}}{\partial t}=\sum_{-\mathbf{k}^{\prime}} \sum_{-\mathbf{k}^{\prime \prime}} \frac{1}{2} \hat{\mathbf{s}} \cdot\left(\mathbf{k} \times \mathbf{k}^{\prime}\right)\left(\Omega_{-\mathbf{k}^{\prime \prime}} \psi_{-\mathbf{k}^{\prime}}-\Omega_{-\mathbf{k}^{\prime}} \psi_{-\mathbf{k}^{\prime \prime}}\right)
$$

- for beat waves use symmetry

$$
\hat{\mathbf{s}} \cdot\left(\mathbf{k} \times \mathbf{k}^{\prime}\right)=\hat{\mathbf{s}} \cdot\left(\mathbf{k}^{\prime} \times \mathbf{k}^{\prime \prime}\right)=\hat{\mathbf{s}} \cdot\left(\mathbf{k}^{\prime \prime} \times \mathbf{k}\right)
$$

- define coupling matrix

$$
C_{\mathbf{k k}^{\prime}}=\frac{1}{2} \hat{\mathbf{s}} \cdot\left(\mathbf{k} \times \mathbf{k}^{\prime}\right)
$$

- find beat wave equations (use permutation among $\mathbf{k}, \mathbf{k}^{\prime}, \mathbf{k}^{\prime \prime}$ triangle)

$$
\begin{aligned}
& \frac{\partial \Omega_{\mathbf{k}}}{\partial t}=C_{\mathbf{k k}^{\prime}}\left(\Omega_{-\mathbf{k}^{\prime \prime}} \psi_{-\mathbf{k}^{\prime}}-\Omega_{\mathbf{k}^{\prime}} \psi_{-\mathbf{k}^{\prime \prime}}\right) \\
& \frac{\partial \Omega_{\mathbf{k}^{\prime}}}{\partial t}=C_{\mathbf{k k}^{\prime}}\left(\Omega_{-\mathbf{k}} \psi_{-\mathbf{k}^{\prime \prime}}-\Omega_{\mathbf{k}^{\prime \prime}} \psi_{-\mathbf{k}}\right) \\
& \frac{\partial \Omega_{\mathbf{k}^{\prime \prime}}}{\partial t}=C_{\mathbf{k k}^{\prime}}\left(\Omega_{-\mathbf{k}^{\prime}} \psi_{-\mathbf{k}}-\Omega_{\mathbf{k}} \psi_{-\mathbf{k}^{\prime}}\right)
\end{aligned}
$$

## Energy Transfer

- find energy transfer by multiplying by $-\psi_{\mathbf{k}}$ and adding complex conjugate

$$
\begin{aligned}
\frac{\partial U_{\mathbf{k}}}{\partial t} & =2 C_{\mathbf{k k}^{\prime}} \operatorname{Re}\left[\psi_{\mathbf{k}} \Omega_{\mathbf{k}^{\prime}} \psi_{\mathbf{k}^{\prime \prime}}-\psi_{\mathbf{k}} \psi_{\mathbf{k}^{\prime}} \Omega_{\mathbf{k}^{\prime \prime}}\right] \\
\frac{\partial U_{\mathbf{k}^{\prime}}}{\partial t} & =2 C_{\mathbf{k} \mathbf{k}^{\prime}} \operatorname{Re}\left[\psi_{\mathbf{k}^{\prime}} \Omega_{\mathbf{k}^{\prime \prime}} \psi_{\mathbf{k}}-\psi_{\mathbf{k}^{\prime}} \psi_{\mathbf{k}^{\prime \prime}} \Omega_{\mathbf{k}}\right] \\
\frac{\partial U_{\mathbf{k}^{\prime \prime}}}{\partial t} & =2 C_{\mathbf{k} \mathbf{k}^{\prime}} \operatorname{Re}\left[\psi_{\mathbf{k}^{\prime \prime}} \Omega_{\mathbf{k}} \psi_{\mathbf{k}^{\prime}}-\psi_{\mathbf{k}^{\prime \prime}} \psi_{\mathbf{k}} \Omega_{\mathbf{k}^{\prime}}\right]
\end{aligned}
$$

- identify transfer channel as terms with opposite sign in one pair of equations, e.g.,

$$
T_{U}\left(\mathbf{k} \leftarrow \mathbf{k}^{\prime}\right)=2 C_{\mathbf{k} \mathbf{k}^{\prime}} \operatorname{Re}\left[-\psi_{\mathbf{k}} \psi_{\mathbf{k}^{\prime}} \Omega_{\mathbf{k}^{\prime \prime}}\right]
$$

## Enstrophy Transfer

- find enstrophy transfer by multiplying by $\Omega_{\mathbf{k}}$ and adding complex conjugate

$$
\begin{aligned}
\frac{\partial W_{\mathbf{k}}}{\partial t} & =2 C_{\mathbf{k} \mathbf{k}^{\prime}} \operatorname{Re}\left[\Omega_{\mathbf{k}} \psi_{\mathbf{k}^{\prime}} \Omega_{\mathbf{k}^{\prime \prime}}-\Omega_{\mathbf{k}} \Omega_{\mathbf{k}^{\prime}} \psi_{\mathbf{k}^{\prime \prime}}\right] \\
\frac{\partial W_{\mathbf{k}^{\prime}}}{\partial t} & =2 C_{\mathbf{k} \mathbf{k}^{\prime}} \operatorname{Re}\left[\Omega_{\mathbf{k}^{\prime}} \psi_{\mathbf{k}^{\prime \prime}} \Omega_{\mathbf{k}}-\Omega_{\mathbf{k}^{\prime}} \Omega_{\mathbf{k}^{\prime \prime}} \psi_{\mathbf{k}}\right] \\
\frac{\partial W_{\mathbf{k}^{\prime \prime}}}{\partial t} & =2 C_{\mathbf{k} \mathbf{k}^{\prime}} \operatorname{Re}\left[\Omega_{\mathbf{k}^{\prime \prime}} \psi_{\mathbf{k}} \Omega_{\mathbf{k}^{\prime}}-\Omega_{\mathbf{k}^{\prime \prime}} \Omega_{\mathbf{k}} \psi_{\mathbf{k}^{\prime}}\right]
\end{aligned}
$$

- identify transfer channel as terms with opposite sign in one pair of equations, e.g.,

$$
T_{W}\left(\mathbf{k} \leftarrow \mathbf{k}^{\prime}\right)=2 C_{\mathbf{k} \mathbf{k}^{\prime}} \operatorname{Re}\left[-\Omega_{\mathbf{k}} \Omega_{\mathbf{k}^{\prime}} \psi_{\mathbf{k}^{\prime \prime}}\right]
$$

## The Dual Cascade

- write energy and enstrophy transfer

$$
\begin{array}{cc}
T_{U}\left(\mathbf{k} \leftarrow \mathbf{k}^{\prime}\right)=2 C_{\mathbf{k} \mathbf{k}^{\prime}} \operatorname{Re}\left[-\psi_{\mathbf{k}} \psi_{\mathbf{k}^{\prime}} \Omega_{\mathbf{k}^{\prime \prime}}\right] \quad & =2 C_{\mathbf{k} \mathbf{k}^{\prime}} \operatorname{Re}\left[\left(k^{\prime \prime}\right)^{2} \psi_{\mathbf{k}} \psi_{\mathbf{k}^{\prime}} \psi_{\mathbf{k}^{\prime \prime}}\right] \\
T_{W}\left(\mathbf{k} \leftarrow \mathbf{k}^{\prime}\right)=2 C_{\mathbf{k} \mathbf{k}^{\prime}} \operatorname{Re}\left[-\Omega_{\mathbf{k}} \Omega_{\mathbf{k}^{\prime}} \psi_{\mathbf{k}^{\prime \prime}}\right] & =2 C_{\mathbf{k k}^{\prime}} \operatorname{Re}\left[-k^{2}\left(k^{\prime}\right)^{2} \psi_{\mathbf{k}} \psi_{\mathbf{k}^{\prime}} \psi_{\mathbf{k}^{\prime \prime}}\right]
\end{array}
$$

- note that given a definite sign of the triple correlation $\left[\psi_{\mathbf{k}} \psi_{\mathbf{k}^{\prime}} \psi_{\mathbf{k}^{\prime \prime}}\right]$, these are opposite!
- statistically, enstrophy goes to higher $k$, hence smaller scale, due to the larger $k$-dependence
$\dagger$ faster mixing, spectral redistribution
- hence energy goes preferentially to lower $k$, hence larger scale

2D inverse energy cascade

- "maximum entropy" stationary states for discrete systems show $W_{k} \sim k$ and $U_{k} \sim k^{-1}$


## A Passive Scalar

- density fluctuations follow incompressible equation

$$
\frac{\partial \widetilde{\rho}}{\partial t}+\mathbf{v} \cdot \nabla \widetilde{\rho}=0
$$

- passive scalar: $\widetilde{\rho}$ is advected by the flow, but effects no back reaction
- in $\mathbf{k}$-space the density equation is the same as for the vorticity
- "fluctuation free energy" or "entropy" is defined by squared amplitude
- hence the free energy transfer has the same form as for enstrophy
flow energy to large scales, free energy to small
- very high correlation $\widetilde{\Omega} \leftrightarrow \widetilde{\rho}$ in forced/dissipative turbulence, even with no coupling effects


## Incompressible MHD

- constant parameters, homogeneous background, keep only quadratic nonlinearities

$$
\rho\left(\frac{\partial \mathbf{v}}{\partial t}+\mathbf{v} \cdot \nabla \mathbf{v}\right)=-\nabla\left(p+\frac{B^{2}}{8 \pi}\right)+\frac{\mathbf{B} \cdot \nabla \mathbf{B}}{4 \pi}
$$

- $\operatorname{set} \mathbf{B}=B \mathbf{b}$ and $\mathbf{u}=\mathbf{v} / v_{A}$ with $v_{A}^{2}=B^{2} / 4 \pi \rho$

$$
\frac{1}{v_{A}} \frac{\partial \mathbf{u}}{\partial t}+\mathbf{u} \cdot \nabla \mathbf{u}=-\frac{1}{B^{2}} \nabla\left(4 \pi p+\frac{B^{2}}{2}\right)+\mathbf{b} \cdot \nabla \mathbf{b}
$$

- incompressible MHD kinematic equation

$$
\frac{1}{v_{A}} \frac{\partial \mathbf{b}}{\partial t}+\mathbf{u} \cdot \nabla \mathbf{b}=\mathbf{b} \cdot \nabla \mathbf{u}
$$

- define "Elsässer variables" $\mathbf{u}_{ \pm}=\mathbf{u} \pm \mathbf{b}$
- find passive advection, but coupled through advector (note $\nabla \cdot \mathbf{v}=0 \leftrightarrow B^{2}$ not $p$, for $\beta \ll 1$ )

$$
\frac{1}{v_{A}} \frac{\partial \mathbf{u}_{ \pm}}{\partial t}+\mathbf{u}_{\mp} \cdot \nabla \mathbf{u}_{ \pm}=-\frac{1}{B^{2}} \nabla\left(4 \pi p+\frac{B^{2}}{2}\right)
$$

## 2D Incompressible MHD

- constant parameters, homogeneous background, keep only quadratic nonlinearities

$$
\rho\left(\frac{\partial \mathbf{v}}{\partial t}+\mathbf{v} \cdot \nabla \mathbf{v}\right)=-\nabla\left(p+\frac{B^{2}}{8 \pi}\right)+\frac{\mathbf{B} \cdot \nabla \mathbf{B}}{4 \pi}
$$

- take curl, use 2D to avoid $(\nabla \times \mathbf{v}) \cdot \mathbf{v}$ and $\mathbf{J} \cdot \nabla \mathbf{B}$

$$
\rho\left(\frac{\partial}{\partial t}+\mathbf{v} \cdot \nabla\right) \nabla \times \mathbf{v}=\frac{1}{c} \mathbf{B} \cdot \nabla \mathbf{J}
$$

- define ExB velocity and vorticity, parallel current, parallel gradient

$$
\mathbf{v}=\mathbf{v}_{E}=\frac{c}{B^{2}} \mathbf{B} \times \nabla \phi \quad \Omega=\frac{\rho c^{2}}{B^{2}} \nabla_{\perp}^{2} \phi \quad J_{\|}=\mathbf{b} \cdot \mathbf{J}
$$

- find correction to Euler vorticity equation

$$
\frac{\partial \Omega}{\partial t}+\mathbf{v}_{E} \cdot \nabla \Omega=\mathbf{b} \cdot \nabla J_{\|}
$$

## applications of 2D incompressible MHD

- usually formulated with Elsässer variables: $\mathbf{u}_{ \pm}=\mathbf{u} \pm \mathbf{b}$
- define velocity and magnetic field

$$
\mathbf{u}=\hat{\mathbf{s}} \times \nabla \phi \quad \mathbf{b}=-\hat{\mathbf{s}} \times \nabla \psi
$$

- resistive $(\eta)$, viscous $(\mu)$ MHD equations in Alfvén normalisation $\left(\partial / \partial t \leftrightarrow v_{A} \nabla\right)$

$$
\frac{\partial \mathbf{u}_{ \pm}}{\partial t}+\mathbf{u}_{\mp} \cdot \nabla \mathbf{u}_{ \pm}=-\nabla I+(\mu \pm \eta) \nabla_{\perp}^{2} \mathbf{u}_{ \pm}
$$

- incompressibility potential

$$
\nabla^{2} I+\nabla \cdot\left(\mathbf{u}_{\mp} \cdot \nabla \mathbf{u}_{ \pm}\right)=0
$$

- this dynamical system is commonly used in astrophysics (e.g., reconnection, dynamo)
- for turbulence within an MHD stable equilibrium, the drive source is $\nabla p$
$\dagger$ coupling processes specifically in the electrons $p_{e} \leftrightarrow \phi$ become significant
$\dagger$ and the MHD model cannot cover the physics ...


## Dissipative Coupling

- beyond MHD, density is not passive, but coupled through parallel currents to the ExB vorticity
- Ohm's law, parallel, keeping electron pressure gradient

$$
-E_{\|}=\nabla_{\|} \widetilde{\phi}=\frac{1}{n_{e} e} \nabla_{\|} \widetilde{p}_{e}-\eta_{\|} \widetilde{J}_{\|}
$$

- parallel compressibility enters electron pressure equation (advection is by the ExB velocity)

$$
\frac{\partial \widetilde{p}_{e}}{\partial t}+\mathbf{v}_{E} \cdot \nabla\left(p_{e}+\widetilde{p}_{e}\right)=\frac{T_{e}}{e} \nabla_{\|} \widetilde{J}_{\|}
$$

- appears as parallel diffusivity but couples to $\widetilde{\phi}$

$$
\frac{\partial \widetilde{p}_{e}}{\partial t}+\mathbf{v}_{E} \cdot \nabla\left(p_{e}+\widetilde{p}_{e}\right)=\frac{T_{e}}{n_{e} e^{2} \eta_{\|}} \nabla_{\|}^{2}\left(\widetilde{p}_{e}-n_{e} e \widetilde{\phi}\right)
$$

- note that $\nabla_{\|} \widetilde{p}_{e} \sim n_{e} e \nabla_{\|} \widetilde{\phi}$ is the usual situation in gradient driven turbulence
$\dagger$ it cannot be treated by the single fluid MHD model


## Dissipative Coupling Model for ExB Turbulence

- electrostatic approximation for $\omega \ll k_{\perp} v_{A}$

$$
\mathbf{E}_{\perp}=-\nabla_{\perp} \phi
$$

- electrostatic potential is stream function for ExB velocity

$$
\mathbf{v}_{E}=\frac{c}{B^{2}} \mathbf{B} \times \nabla \phi \quad \nabla \times \frac{\rho c}{B} \mathbf{v}_{E}=\Omega \mathbf{b}
$$

- vorticity equation is the same as for MHD, with parallel gradient reckoned against the background

$$
\frac{\partial \Omega}{\partial t}+\mathbf{v}_{E} \cdot \nabla \Omega=\nabla_{\|} J_{\|}
$$

- changes are in the dissipative Ohm's law ... and in the electron pressure equation

$$
\eta_{\|} J_{\|}=\frac{1}{n_{e} e} \nabla_{\|} p_{e}-\nabla_{\|} \phi \quad \frac{\partial p_{e}}{\partial t}+\mathbf{v}_{E} \cdot \nabla p_{e}=\frac{T_{e}}{e} \nabla_{\|} J_{\|}
$$

- with $J_{\|}$as a function of $p_{e}$ and $\phi$, the system is closed


## Dissipative Coupling Model, properly 2D

- with no magnetic fluctuations, $\nabla_{\|}$is slightly cheating
- actual dynamics is 3 D , perp incompressible, $J_{\|}$dynamics along $\mathbf{B}$ to provide coupling
- answer: model $-\nabla_{\|}^{2}$ with a positive coupling constant, with units of frequency

$$
D=\frac{T_{e}}{n_{e} e^{2} \eta_{\|}} k_{\|}^{2} \quad \text { which with } \quad \eta_{\|}=0.51 \frac{m_{e} \nu_{e}}{n_{e} e^{2}} \quad \text { becomes } \quad D=\frac{V_{e}^{2}}{0.51 \nu_{e}} k_{\|}^{2}
$$

where $V_{e}=\sqrt{T_{e} / m_{e}}$ is the electron thermal velocity

- scale fluctuations as $e \widetilde{\phi} / T_{e}$ and $\widetilde{p}_{e} / p_{e}$, use $\rho=n_{i} M_{i}$ and $n_{i}=n_{e}$
- resulting model is called "Hasegawa-Wakatani"

$$
\begin{gathered}
\frac{c^{2} M_{i} T_{e}}{e^{2} B^{2}}\left(\frac{\partial}{\partial t}+\mathbf{v}_{E} \cdot \nabla\right) \nabla_{\perp}^{2} \frac{e \widetilde{\phi}}{T_{e}}=D\left(\frac{\widetilde{p}_{e}}{p_{e}}-\frac{e \widetilde{\phi}}{T_{e}}\right) \\
\left(\frac{\partial}{\partial t}+\mathbf{v}_{E} \cdot \nabla\right) \frac{\widetilde{p}_{e}}{p_{e}}+\mathbf{v}_{E} \cdot \nabla \log p_{e}=D\left(\frac{\widetilde{p}_{e}}{p_{e}}-\frac{e \widetilde{\phi}}{T_{e}}\right)
\end{gathered}
$$

## Dissipative Coupling Model, notes

- we've used a static, resistive, current
$\dagger$ neglects magnetic induction $\leftrightarrow$ effects of $\partial \mathbf{B} / \partial t$, fails if $\omega \sim k_{\|} v_{A}$
- we've still used the ExB velocity for both ions and electrons, perp to $\mathbf{B}$
$\dagger$ for MHD the only restriction is that electrostatic form requires $\omega \ll k_{\perp} v_{A}$
$\dagger$ in general we require cold ions to use the ExB inertia term

$$
n_{e} e \nabla_{\perp} \phi \sim \nabla_{\perp} p_{e} \ll \nabla_{\perp} p_{i} \quad \text { requires } \quad T_{i} \ll T_{e}
$$

- we've assumed isothermal electrons in the $\widetilde{p}_{e}$ equation
$\dagger$ constant mass density is still OK if $\widetilde{p}_{e} \ll p_{e}$
$\dagger$ generally, $\widetilde{T}_{e}$ is required but adds no qualitative changes, hence neglected in simplest model
- use of cold ions allows neglect of finite gyroradius effects and still reach down to drift scale
- we've neglected sound wave effects, reasonable if $k_{\|} L_{\perp} \ll 1$
- note that to compare NUMBERS to an experiment requires absolute complexity


## Scales in the Dissipative Coupling Model

- the Hasegawa-Wakatani equations: dissipative coupling and gradient forcing

$$
\begin{gathered}
\frac{c^{2} M_{i} T_{e}}{e^{2} B^{2}}\left(\frac{\partial}{\partial t}+\mathbf{v}_{E} \cdot \nabla\right) \nabla_{\perp}^{2} \frac{e \widetilde{\phi}}{T_{e}}=D\left(\frac{\widetilde{p}_{e}}{p_{e}}-\frac{e \widetilde{\phi}}{T_{e}}\right) \\
\left(\frac{\partial}{\partial t}+\mathbf{v}_{E} \cdot \nabla\right) \frac{\widetilde{p}_{e}}{p_{e}}+\mathbf{v}_{E} \cdot \nabla \log p_{e}=D\left(\frac{\widetilde{p}_{e}}{p_{e}}-\frac{e \widetilde{\phi}}{T_{e}}\right)
\end{gathered}
$$

- introduces the drift scale $\rho_{s}$, defined by

$$
\rho_{s}^{2}=c^{2} M_{i} T_{e} / e^{2} B^{2}
$$

- gradient forcing gives the time scale $L_{\perp} / c_{s}$, from the sound speed $c_{s}$ and profile scale length $L_{\perp}$

$$
c_{s}^{2}=\frac{T_{e}}{M_{i}} \quad L_{\perp}=\left|\nabla \log p_{e}\right|^{-1}
$$

- most interesting effects come from the varying properties of the two nonlinearities ...


## Computational Dissipative Coupling Model

- normalise in terms of $\rho_{s}$ and $c_{s} / L_{\perp}$, scale variables by a factor of $\delta=\rho_{s} / L_{\perp}$

$$
\phi \leftarrow \delta^{-1} e \widetilde{\phi} / T_{e} \quad p \leftarrow \delta^{-1} \widetilde{p}_{e} / p_{e} \quad \Omega \leftarrow \delta^{-1} \rho_{s}^{2} \nabla_{\perp}^{2}\left(e \widetilde{\phi} / T_{e}\right)
$$

- only parameter is $D \leftarrow D L_{\perp} / c_{s}$

$$
\begin{gathered}
\left(\frac{\partial}{\partial t}+\mathbf{v}_{E} \cdot \nabla\right) \Omega=D(p-\phi) \\
\left(\frac{\partial}{\partial t}+\mathbf{v}_{E} \cdot \nabla\right) p=-\frac{\partial \phi}{\partial y}+D(p-\phi)
\end{gathered}
$$

- ExB advection defined in terms of a Poisson bracket structure, e.g.,

$$
\mathbf{v}_{E} \cdot \nabla p=[\phi, p]=\frac{\partial \phi}{\partial x} \frac{\partial p}{\partial y}-\frac{\partial \phi}{\partial y} \frac{\partial p}{\partial x}
$$

- linear forcing terms are the dissipative coupling $(D)$ and the gradient drive: $v_{E}^{x}=-\partial \phi / \partial y$


## Illustration of Dual Cascade

- periodic domain, $\left(20 \pi \rho_{s}\right)^{2}$
- examine decaying turbulence started in middle of spectrum (set gradient drive to zero)

$$
p_{\mathbf{k}}(0)=\phi_{\mathbf{k}}(0)=a_{0}\left[1+\left(k_{\perp}^{2} / 0.32\right)^{4}\right]^{-1 / 2} e^{i \Theta}
$$

$\dagger$ random phase $\Theta$
$\dagger a_{0}$ chosen such that rms amplitude is 3.0

- test "hydrodynamic" limit $D=0$
$\dagger$ Euler equation for $\Omega$, passive advection for $p$
- note in some of the figures label for $p$ is $n_{e}$
- Time evolution of the hydrodynamic model

- initial decay of half squared amplitudes of $p$ and $\phi$, denoted $A_{n}$ and $A_{p}$, respectively
$\dagger$ also ExB energy $\left(U_{E}\right)$ and fluctuation free energy $\left(U_{n}\right)$
- energetic losses (mostly in $p$ due to the direct cascade) for three values of the resolution
- Amplitude spectra in the hydrodynamic model, for $p, \phi$, and $\Omega$ ('n', 'p', and 'w')

- times of the snapshots are $t=0$ (left), $t=9.8$ (center), and $t=24$ (right)
- the spectra evolve rapidly apart due to the differing cascade dynamics for $p$ and $\Omega$ versus $\phi$
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- Evolution of the disturbances for the hydrodynamic model (note $n_{e}=p$ )

$$
t=0.00
$$



- note that the morphology of $\Omega$ and $\phi$ is completely different although $\Omega=\nabla_{\perp}^{2} \phi$



## Energy Transfer in the Dissipative Coupling Model

- switch gradient drive back on
- run to "saturation" defined by statistical stationarity for spectral quantities
- wide range of coupling strength, $D=0.01,0.03,0.1,0.3$, and 1.0
- displayed for $D=0.1$
$\dagger$ energy transfer directions hold for all $D$ checked, only the robustness changes
- $D \rightarrow \infty$ is the "adiabatic limit" where $J_{\|} \rightarrow 0$ and $\phi \rightarrow p$
$\dagger$ robustness of $\mathbf{v}_{E} \cdot \nabla p$ proportional to about $D^{-3 / 4}$
- Time evolution of the dissipative coupling model, for the nominal case of $D=0.1$

- half squared amplitudes of $p$ and $\phi$, denoted $A_{n}$ and $A_{p}$, respectively
$\dagger$ also ExB energy $\left(U_{E}\right)$ and fluctuation free energy $\left(U_{n}\right)$
- transport caused by the turbulence, $Q_{e}=\left\langle p v_{E}^{x}\right\rangle$, for three values of the resolution
$\dagger$ for $64^{2}, 128^{2}$, and $256^{2}$ grid nodes, the values are $4.69 \pm 0.80$ and $4.89 \pm 0.74$ and $4.14 \pm 0.51$
- Saturated state of the dissipative coupling model, for the nominal case of $D=0.1$



- averaged amplitude spectra for $p, \phi$, and the $\Omega$ ('n', 'p', and 'w')
- morphology of $\phi$ and $n_{e}=p$ at $t=400$
$\dagger$ close coupling at larger scales but differences on smaller scales, corresponding to the spectra $\dagger$ the nonlinear interactions affecting $p$ are stronger relative to the coupling at higher $k_{\perp}$
- Energy and enstrophy transfer in the dissipative coupling model, with $D=0.1$

- transfer is from $k^{\prime}$ to $k$, shown where positive
- results show local cascade: mostly $1 / 2<k^{\prime} / k<2$
- direct cascade for $U_{n}$ and $W, \quad \ldots \quad$ inverse cascade for $U_{E}$


## Energy Transfer: electromagnetic turbulence


(B Scott Phys Fluids B 1992, Plasma Phys Contr Fusion 1997)
(S Camargo et al Phys Plasmas 1995 and 1996)

## Transport due to ExB Turbulence

- the turbulence causes a finite average advective transport, in general...

$$
Q=Q_{e}+Q_{i} \quad Q_{e}=\left\langle\frac{3}{2} \widetilde{p}_{e} v_{E}^{x}\right\rangle \quad Q_{i}=\left\langle\frac{3}{2} \widetilde{p}_{i} v_{E}^{x}\right\rangle
$$

- in a confined plasma, the equilibrium is maintained by a source

$$
\oint d V S=\oint d S Q=\oint d V \frac{\partial Q}{\partial x}
$$

- the time scales are very different; typical values: $\delta \sim 10^{-2}$

$$
\tau_{\text {turb }} \sim 200 \frac{L_{\perp}}{c_{s}} \quad \tau_{\text {source }} \sim \text { few } \times \delta^{-2} \frac{L_{\perp}}{c_{s}}
$$

- profiles evolve slowly, turbulence in quasistatic statistical equilibrium
it is a good approximation to consider turbulence in the presence of a prescribed gradient

